

FFY 2020 - 21
Local Assistance Delivery
Formula OA Funds as of September 30, 2021

Region	Actual FFY 2021 OA ¹	Adjustment to FFY 2021 OA for FFY 2020 Over - Under Delivery ²	Actual FFY 2021 Oversight Adjustment ³	Contribution for LS&R Needs Assessment FFY 2021 ⁴	LS&R Needs Assessment Funding Offset	Adjusted FFY 2021 Actual OA ⁵	FFY 2021 Obligations as of 9/30/21	Percent Actual OA Delivered	FFY 2021 August Redistribution \$ 147,190,404	Balance FFY 2021 Actual OA ⁶
Amador	874,856	491,262	(13,733)	-	-	1,352,385	552,952	40.9%	-	799,433
Butte	4,906,220	507,059	(76,998)	-	-	5,336,281	4,009,352	75.1%	-	1,326,929
Calaveras	1,046,300	1,438,490	(16,424)	-	-	2,468,367	2,551,613	103.4%	44,973	(38,273)
Fresno	24,008,410	(1,482,200)	(403,146)	-	-	22,123,064	20,997,333	94.9%	-	1,125,731
Imperial	3,896,406	1,589,894	(65,505)	-	-	5,420,795	5,015,870	92.5%	-	404,926
Kern ¹⁰	21,449,549	(1,154,807)	(360,063)	-	-	19,978,747	23,284,442	116.5%	1,785,886	(1,519,809)
Kings	3,989,791	197,399	(63,254)	-	-	4,123,936	2,998,948	72.7%	-	1,124,988
Los Angeles ⁹	268,033,479	(25,213,224)	(4,504,385)	-	-	238,315,870	272,957,715	114.5%	18,715,090	(15,926,755)
Madera	4,008,609	5,257,076	(63,630)	-	-	9,202,055	2,979,914	32.4%	-	6,222,141
Mariposa	428,300	1,724,925	(6,718)	-	-	2,146,507	423,730	19.7%	-	1,722,777
Merced	6,981,682	3,997,500	(110,986)	-	-	10,868,195	7,499,539	69.0%	-	3,368,656
Nevada	2,322,510	1,801,132	(36,571)	-	-	4,087,071	1,402,526	34.3%	-	2,684,545
Orange	83,605,446	(6,805,003)	(1,405,538)	-	-	75,394,904	119,346,492	158.3%	23,744,634	(20,206,954)
Riverside	60,885,089	20,640,216	(1,023,026)	-	-	80,502,279	45,348,020	56.3%	-	35,154,259
Sacramento (SACOG)	49,588,307	(21,857,314)	(832,682)	-	-	26,898,311	68,698,184	255.4%	22,582,180	(19,217,693)
San Bernardino	55,440,968	(17,712,136)	(930,120)	-	-	36,798,712	51,592,969	140.2%	7,992,526	(6,801,731)
San Diego ⁷	70,714,235	(9,725,754)	(1,188,499)	-	-	59,799,982	79,735,899	133.3%	10,770,283	(9,165,634)
San Francisco Bay Area (MTC)	157,463,232	(4,834,494)	(2,646,174)	-	-	149,982,564	217,285,930	144.9%	36,360,319	(30,943,046)
San Joaquin	17,857,537	(4,955,286)	(300,213)	-	-	12,602,038	9,987,720	79.3%	-	2,614,318
San Luis Obispo	6,235,537	3,261,795	(98,006)	-	-	9,399,325	3,869,895	41.2%	-	5,529,430
Stanislaus	13,148,542	1,368,197	(221,048)	-	-	14,295,692	9,635,728	67.4%	-	4,659,964
Tahoe	3,183,211	1,435,196	(53,515)	-	-	4,564,892	4,279,938	93.8%	-	284,954
Tehama	1,476,718	1,545,582	(23,203)	-	-	2,999,098	918,761	30.6%	-	2,080,337
Tulare	11,693,234	(1,049,559)	(190,828)	-	-	10,452,847	12,350,085	118.2%	1,024,974	(872,264)
Tuolumne	1,267,941	1,199,134	(19,908)	-	-	2,447,167	798,878	32.6%	-	1,648,289
Ventura	19,062,991	22,018,848	(320,479)	-	-	40,761,361	8,023,087	19.7%	-	32,738,274
Exchange Counties	30,552,947	739,820	(460,500)	-	-	30,832,267	30,785,668	99.8%	-	46,599
TOTAL LOCAL AGENCIES	924,122,048	(25,576,253)	(15,435,150)	-	-	883,154,713	1,007,331,189	114.1%	123,020,865	(1,155,610)
HBP	249,133,321	(36,856,357)	(4,161,150)	-	-	208,115,814	252,853,906	121.5%	24,169,539	(20,568,553)
Safety ⁸	73,456,433	54,285,131	(1,226,906)	-	-	126,514,658	112,592,663	89.0%	-	13,921,995
SRTS	-	8,147,479	-	-	-	8,147,479	677,216	8.3%	-	7,470,263
TOTAL	1,246,711,802	(0)	(20,823,206)	-	-	1,225,932,664	1,373,454,974	112.0%	147,190,404	(331,905)
FFY 2020 Formula OA Obligations YTD (9/30/20) (Total)	Prior year data displayed for informational purposes		-	-	-	1,234,670,046	1,391,675,211	112.7%	157,005,165	0

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Information:

- < This September 30, 2021 report is based on the Department's Federal Aid Data System (FADS) transactions.
- < Obligations include obligations, deobligations and Federal Transit Administration (FTA) transfers.
- < The federal fiscal year (FFY) 2020-21 (FFY 2021) RSTP Exchange is included in the March 2021 Obligation Authority (OA) delivery report in the FFY 2021 Obligations amount.

Footnotes:

- ¹ The Locals' actual base Federal Fiscal Year (FFY) 2020-21 (FFY 2021) Obligation Authority (OA) is based on the amount provided by our Department's Office of Federal Resources (OFR) on February, 3, 2021. The OFR's amount is based on the Federal Highway Administration (FHWA) FFY 2021 OA (FHWA N4520.268) dated January 15, 2021. In May 2021, the Local's FFY 2021 OA amount was increased by \$24,055,956 which is carryover of the unused Local OA amount from FFY 2020 August Redistribution. The repayment of the \$24,055,956 was discussed at the October 2020 CTC Meeting and the repayment was confirmed by OFR in May 2021.
- ² Balance of FFY 2020 OA (last FFY's OA balance) -- This is the amount a Region/Program must pay back if over delivered or the amount a Region/Program will receive to "make whole" if under delivered based on the Division of Local Assistance's (DLA's) OA Management Policy. Amounts shown in parenthesis are amounts owed and positive (non-parenthesis numbers) amounts are to be repaid to Regions/Programs. Please see September 30, 2020 OA delivery report for details on this amount.
- ³ Required by Sections 182.6(n) and 182.7(k) of the California Streets and Highways Code. The distribution of the actual oversight amount is based on the final FFY 2021 State/Local Splits report from OFR dated February 3, 2021 and Division of Local Assistance's Oversight Spread to Programs dated October 21, 2021.
- ⁴ Received agreements during FFY 2021 from Region(s) for the Local Streets and Roads (LS&R) Statewide Needs Assessment Funding Concurrence.
- ⁵ This is the FFY 2021 adjusted actual base OA, which includes adjustments for the FFY 2020 OA over or under delivery, the FFY 2021 actual Oversight Adjustment and the LS&R Needs Assessment.
- ⁶ Balance of FFY 2021 actual OA per date of report -- This is the running balance of FFY 2021 actual OA. At the end of the FFY, this is the amount a Region/Program must pay back if over delivered or the amount a Region/Program will receive to "make whole" if under delivered based on DLA's OA Management Policy. Amounts shown in parenthesis are owed back and positive (non-parenthesis numbers) amounts are owed to those Regions/Programs. If OA is lost to the State due to DLA under delivery as a whole, under delivered OA may not be available to repay Regions and/or Programs.
- ⁷ San Diego FFY 2021 Obligations column amount includes \$8,446,196 of regional OA obligated in conjunction with state apportionments in December 2020 for Corridor Border Infrastructure project STPBIPL-6066(170).
- ⁸ In March 2021, \$40,000,000 of Local federal-aid Safety apportionments and OA were transferred to OFR per Reference 2.5h and 2.5i from the January 27-28, 2021, California Transportation Commission meeting, in exchange for State Highway Account (SHA) funds. The transfer and exchange is allowed per Senate Bill (SB) 137 (Chapter 639 of the 2019 Statutes), effective October 8, 2019, which authorized the Department to allow up to \$100,000,000 of federal Local Assistance funds to be exchanged for SHA funds. The purpose of the exchange is to fund all Cycle 10 local Highway Safety Improvement Program (HSIP) projects with State and local funds. The exchange will lead to increased efficiency for local agencies by reducing the time and resources needed to deliver the Cycle 10 HSIP projects.
- ⁹ In April 2021, a system error occurred for Los Angeles' project HSIPL-5323(032) totaling \$649,396 (\$304,796 of Z230 & \$344,600 of ZS3E). This obligation was included in FHWA's Financial Management Information System (FMIS) data but not Caltrans' FADS data. The obligation was not included in the April 2021 OA Delivery report or the May 2021 OA Delivery report while the error was being resolved. The error was resolved in June 2021 and the obligation was included in the June 2021 OA Delivery report.
- ¹⁰ An additional \$44,068.32 of OA was added to Kern COG's balance in the Adjusted FFY 2021 Actual OA column for project NCIIPL-5109(251). FHWA provided additional OA for project NCIIPL-5109(251) which deobligated \$44,068.32 of RNZ3 and re-obligated the same amount of Z24E.